



1743  
Patent *ifw*

Attorney Docket: 302,670-00017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:  
**JAMES M. O'CONNELL**

Serial No.: 09/993,378

Filed: November 14, 2001

For: Methods For The Combined Electrical &  
Optical Identification, Characterization and/or  
Sorting Of Particles

Group Art Unit: 1743

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO/SB/08A provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form PTO/SB/08A, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

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(37 C.F.R. §1.8a)

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In accordance with §1.97(b), this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits of the above-identified application, and therefore no fee is required. If a first Office Action has been mailed, then please enter this Information Disclosure Statement and charge Deposit Account No. 50-2862 for any necessary fees.

In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO/SB/08A are not enclosed herewith because they were previously cited by or submitted to the Patent and Trademark Office in prior copending or related applications for which a claim for priority under 35 U.S.C. §120 has been made in the instant application. Accordingly, Applicants will provide duplicate copies in respect of the present case only if the Examiner so desires.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-

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Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: 5/14/04

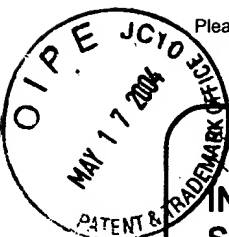
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Sheet 1 of 2

### Complete if Known

Application Number	09/993,378
Filing Date	November 14, 2001
First Named Inventor	James P. O'Connell et al.
Group Art Unit	1743
Examiner Name	Not Yet Assigned
Attorney Docket Number	0302670-17 (prev. 269/050)

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Number	Kind Code <sup>2</sup> (if known)			
	US-3826899		Ehrlich et al	07/30/1974	
	US-4939081		Figdor et al	07/03/1990	
	US-5374556		Bennett et al	12/20/1994	
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	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	WO	02/39104	A1	Kibar	05/16/2002		

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	ARLT et al, "Moving Interference Patterns Created Using The Angular Doppler Effect", Optics Express, 10, 16, 2002, 844-852.	
	ASHKIN, "The Pressure Of Laser Light", Scientific American, 226, 2, 1972, 63-71.	
	BUICAN, "Automated Cell-Separation Techniques Based On Optical Trapping", ACS Symposium Series, 464, 1991, 59-72.	
	GROVER et al, "Automated Single-Cell Sorting System Based On Optical Trapping", Journal of Biomedical Optics, 6, 1, January 2001, 14-22.	

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	MACDONALD et al, "Trapping & Manipulation Of Low Index Particles In A Two Dimensional Interferometric Optical Trap", Optics Letters, 26, 12, 2001, 863-865.	
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	PRIEVE, "Use of Optical Forces To Detach Single Microscopic Particles Adhering To Flat Surfaces In Aqueous Media", Proceedings of the Annual Meeting of the Adhesion Society, 20 <sup>th</sup> , 1997, 151-153.	
	ZEMENEK et al, "Optical Trapping Of Rayleigh Particles Using A Gaussian Standing Wave, Optics Communication, 151, 4, 5, 6, 1998, 273-285.	

Examiner Signature		Date Considered	
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